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(71) Applicant (*for all designated States except US*): **SDGI HOLDINGS, INC.** [US/US]; 300 Delaware Avenue, Suite 508, Wilmington, DE 19801 (US).

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **MCKAY, William, F.** [US/US]; 3870 McElrie Cove, Memphis, TN 38133 (US).

(74) Agents: **BINDSEIL, James, J. et al.**; LC340, 710 Medtronic Parkway NE, Minneapolis, MN 55432 (US).

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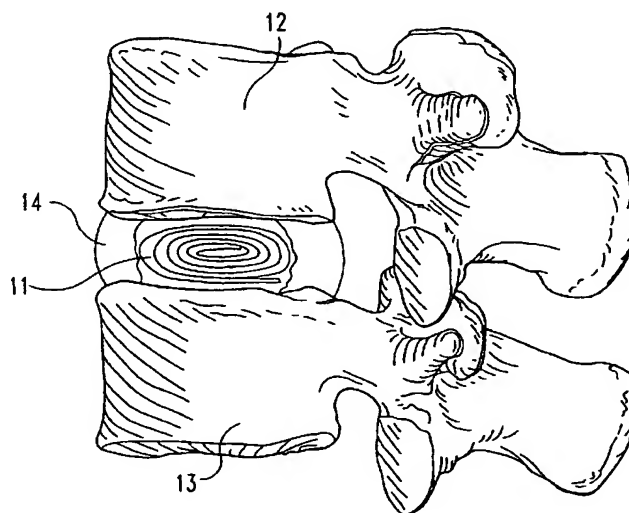
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(54) Title: NATURAL TISSUE DEVICES AND METHODS OF IMPLANTATION



(57) Abstract: Materials and methods for repairing or replacing an intervertebral disc or disc nucleus using natural biological tissue. The tissue may be used alone without an additional core material, or it may be used to encapsulate an elastomeric or hydrogel core. When used alone the tissue may be rolled, folded, braided, or layered to provide a solid plug of natural biological material. When used to encapsulate an elastomeric or hydrogel core the tissue acts as a constraining jacket to support the core. The natural tissue implants may further include a drawstring to assist in folding the implant when the device is implanted in an intervertebral disc space. Multiple units of the natural tissue implants may be used together.

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## NATURAL TISSUE DEVICES AND METHODS OF IMPLANTATION

### CROSS-REFERENCE TO RELATED APPLICATIONS

5           This application is a continuation-in-part of pending U.S. Patent Application Serial No. 60/411,514, filed September 18, 2002; of pending U.S. Patent Application Serial No. 10/645,006, filed August 21, 2003; of pending U.S. Patent Application Serial No. 10/245,955, filed April 25, 2003; and of pending U.S. Patent Application Serial No. 60/426,613, filed November 15, 2002. All of the foregoing are hereby incorporated by  
10       reference into this application in their entirety.

### FIELD OF THE INVENTION

          The present invention relates generally to the use of natural tissue to augment or repair orthopedic structures, and more particularly to the use of natural tissue to augment or  
15       repair orthopedic structures such as intervertebral discs and/or synovial joints.

### BACKGROUND OF THE INVENTION

          The intervertebral disc functions to stabilize the spine and to distribute forces between vertebral bodies. A normal disc includes a gelatinous nucleus pulposus, an annulus fibrosis  
20       and two vertebral end plates. The nucleus pulposus is surrounded and confined by the annulus fibrosis.

          It is known that intervertebral discs are prone to injury and degeneration. For example, herniated discs are common, and typically occur when normal wear, or exceptional strain, causes a disc to rupture. Degenerative disc disease typically results from the normal  
25       aging process, in which the tissue gradually loses its natural water and elasticity, causing the degenerated disc to shrink and possibly rupture.

          Intervertebral disc injuries and degeneration are frequently treated by replacing or augmenting the existing disc material. Current intervertebral disc replacement procedures tend to utilize synthetic materials such as polyethylene mesh to encapsulate a central core of  
30       hydrogel. These synthetic materials are woven into textured fabrics whose rough surfaces may accelerate wear of the encapsulated hydrogel or the bone endplates of the intervertebral

body. Such wear may generate wear particles, and can cause adverse biological responses such as osteolysis in the vertebral body endplate bone and subsequent subsidence of the implant.

5 For example, reports on the use of prosthetic nucleus replacement devices with polyethylene mesh jackets have indicated subsidence of these devices into the endplates of the vertebral bodies. Subsidence is also due to the rigid compliance of the jacket and hard hydrogel core. This modulus mismatch with the vertebral bone, combined with the other design features mentioned above, contributes to implant subsidence.

10 In addition to intervertebral discs and joints, other synovial joints are present in the mammalian appendicular skeleton. A typical synovial joint comprises two bone ends covered by layer of articular cartilage. The cartilage is smooth and resilient, and facilitates low-friction movement of the bones in the joint.

15 The bone ends and associated cartilage are surrounded by a joint capsule – a “sack” of membrane that produces synovial fluid. The capsule and fluid protect and support the cartilage and connective tissue, carrying nutrients to the articular cartilage and removing the metabolic wastes.

20 The articular cartilage is a thin (2-3mm) layer of hyaline cartilage on the epiphysis of the bone. It lacks a perichondrium, and thus has a limited capacity for repair when damaged. Additionally, the natural aging process can cause the articular cartilage to degenerate somewhat, reducing its capacity to protect and cushion the bone ends.

25 Zygapophysial joints, better known as facet joints, are the mechanism by which each vertebra of the spine connects to the vertebra above and/or below it. Each joint comprises two facet bones – an inferior facet and a superior facet – with the inferior facet of one vertebra connecting to the superior facet of an adjacent vertebra. The joints facilitate movement of the vertebra relative to each other, and allow the spine to bend and twist.

As in all synovial joints, where the facets contact each other there is a lining of cartilage lubricated by a thin layer of synovial fluid. The cartilage and synovial fluid decrease friction at the joint, extending joint life and preventing inflammation and associated pain.

30 As the natural aging process progresses, the cartilage covering the joint may deteriorate and start to fray. The fraying process may cause pieces of cartilage to break free,

and the previously smooth surfaces may become rough. The facet bones then begin to rub together, creating friction which leads to further deterioration of the joint. Moreover, the nerves associated with the joint become irritated and inflamed, causing severe pain and restricting movement of the spine.

Techniques for addressing degeneration of synovial joints in general, and facet joints in particular, joint have heretofore relied primarily on injections to block pain and reduce inflammation. This treatment is only temporary though, and rarely leads to any significant improvement of the underlying condition.

It can be seen from the above that a need exists for vertebral disc implants that avoid the problems associated with the use of synthetic materials in augmenting, repairing or replacing all or part of an intervertebral disc. It can also be seen that a need exists for materials and methods effective for treating degenerating synovial joints, and particularly for materials and methods effective for supplementing or replacing the cartilage that lubricates and protects the joint. The present invention addresses those needs.

## SUMMARY OF THE INVENTION

One aspect of the present invention provides materials and methods for augmenting, repairing, or replacing portions or all of an intervertebral disc using natural biological tissue. The tissue may be provided in strips, sheets, or plugs, among other forms, and each piece may be rolled, folded, braided, etc., to form a desired configuration. The tissue may be used alone, or it may be used in combination with other pieces of natural materials or with a second material.

Alternatively, the natural tissue may be used alone or with another material to augment or repair any synovial joint or other anatomical structure. Braided natural tissue segments, in particular, find utility in a variety of orthopedic applications.

Additional features and benefits of the present invention shall become apparent from the following description of the preferred embodiments.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a side elevational view, in full section, of a roll of natural tissue material being used as a disc replacement device, according to one aspect of the present invention.

FIG. 2 shows a “roll” embodiment of the natural tissue implants of the present invention, according to one preferred embodiment.

FIG. 3 shows an alternative embodiment of the roll of natural tissue shown in FIG. 2.

5 FIG. 4 shows a “braided” embodiment of the natural tissue implants of the present invention, according to one preferred embodiment.

FIG. 5A shows a perspective view of the braided implant of FIG. 4, with a drawstring through the implant for causing the implant to bunch or fold.

FIG. 5B shows another perspective view of the braided implant of FIG. 5A.

10 FIG. 6 shows the braided implant of FIG. 5 with the braid being folded after pulling the drawstring.

FIG. 7 shows the braided implant of FIG. 5 being implanted into a disc nucleus, with the implant in its first, straightened configuration.

FIG. 8 shows the braided implant of FIG. 5 being implanted into a disc nucleus, with the implant beginning to fold.

15 FIG. 9 shows the braided implant of FIG. 5 being implanted into a disc nucleus, with the implant continuing to fold.

FIG. 10 shows the braided implant of FIG. 5 after it has been implanted into a disc nucleus, with the implant in its second, folded configuration.

20 FIG. 11 shows a natural tissue implant made from a stack of separate sheets of tissue.

FIG. 12 shows a natural tissue implant made from a folded sheet of tissue.

FIG. 13 shows a natural tissue implant made from a multiplicity of sub-units, with each sub-unit comprising a roll of tissue.

25 FIG. 14 shows the natural tissue implant of FIG. 13, with the sub-units being folded over to form a wider implant.

FIG. 15 shows the natural tissue implant of FIG. 13, after the sub-units have been folded over to form a wider implant.

FIG. 16 shows an alternative embodiment of a natural tissue implant made from a multiplicity of sub-units, with each sub-unit comprising a roll of tissue.

30 FIG. 17 shows the natural tissue implant of FIG. 16, with the sub-units being folded over to form a wider implant.

FIG. 18 shows a natural tissue implant made from a multiplicity of sub-units in an "accordion" embodiment.

FIG. 19 shows the natural tissue implant of FIG. 18, with the sub-units being folded to form a narrower implant.

5 FIG. 20 shows the natural tissue implant of FIG. 18, with the sub-units folded to form a wider implant.

FIG. 21 shows a stack of natural tissue implants connected together with a suture.

FIG. 22 shows a retaining clip for securing a stack of implants.

FIG. 23 shows an alternative retaining clip for securing a stack of implants.

10 FIG. 24 shows a "pillow" embodiment of the natural tissue implant of the present invention.

FIG. 25 shows a section view of the "pillow" embodiment of FIG. 24.

FIG. 26 shows an alternative "pillow" embodiment of the natural tissue implant of the present invention.

15 FIG. 27 shows a "pouch" embodiment of the present invention, with the pouch in the disc nucleus space and no tissue pieces in the pouch.

FIG. 28 shows the "pouch" embodiment of FIG. 27, with tissue pieces being inserted into the pouch.

20 FIG. 29 shows the "pouch" embodiment of FIG. 27, with more tissue pieces being inserted into the pouch.

FIG. 30 shows the "pouch" embodiment of FIG. 27, after the tissue pieces have been inserted into the pouch and the pouch has been closed.

FIG. 31 shows an alternative embodiment of the present invention, with a braided tissue implant being formed into a knot.

25 FIG. 32 shows the implant of FIG. 31, after the braided tissue implant has been formed into a knot.

FIG. 33 shows an alternative embodiment of the present invention, with a braided tissue implant being formed into a knot.

30 FIG. 34 shows the implant of FIG. 33, after the braided tissue implant has been formed into a knot.

FIG. 35 shows a further embodiment of the natural tissue implants of the present invention, showing the use of a drawstring to bunch or fold an unbraided implant.

FIG. 36 is a photo of a roll of natural material being inserted for use as a disc replacement device, according to one aspect of the present invention.

5           FIG. 37 is a histological section of a roll of natural material being used as a disc replacement device, according to one aspect of the present invention.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

10           For the purpose of promoting an understanding of the principles of the invention, reference will now be made to preferred embodiments and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations and further modifications of the disclosed methods and/or devices, and such further applications of the principles of the invention as described herein, being contemplated as would normally occur to one skilled in the art to  
15           which the invention relates.

          As briefly described above, one aspect of the present invention provides devices and methods for augmenting, repairing, or replacing all or part of an intervertebral disc using natural biological tissue. Natural, biological tissue is preferably used in the inventive devices and methods.

20           The tissue used in the present invention may be any natural biological tissue that is implantable in a human patient. In the most preferred embodiments the tissue will be selected from a tissue source appropriate to provide the strength and structural integrity necessary to function as described herein.

25           In some embodiments the tissue may be a flat tissue such as human, bovine, or porcine pericardium or small intestine submucosa (SIS). Ligaments such as anterior or posterior cruciate ligaments, fasciae such as fascia lata, tendons such as patella, hamstring, quadriceps and Achilles tendons, and other connective tissue may also be used.

30           The tissue may be autogenic, allogenic, or xenogenic with respect to the patient in which the tissue will be used. While human patients are particularly contemplated, animal patients may also be treated with the inventive devices and methods.

The tissue of the present invention is may be provided as one or more sheets, strips, plugs, fibers, or in any other form suitable for implantation. For example, when used to encapsulate a core to provide a disc or nucleus replacement device, the tissue has sufficient size and strength to at least partially constrain the core material. When used without an  
5 additional core material (for example, as a nucleus or disc replacement device itself), the tissue has sufficient size and strength to provide the desired structure. The size and strength of the implant varies, of course, according to whether it is used alone or in combination with other pieces, and with whether the tissue is used flat or is braided, folded, etc.

Consistent with the above, the natural tissue may vary in size and thickness depending  
10 on the configuration of the implant and how the material will be used. For example, when a sheet of tissue is to be rolled, folded or layered into a solid plug, the sheet will preferably be about 5-50mm wide and about 20-80mm long. In another example, when sheets or strips of tissue are to be used to encapsulate a core material, the sheets or strips will preferably be about 10-40mm wide and about 30-60mm long. When used to make a braided implant, strips  
15 that are about 30-200mm long (more preferably about 50-100mm long) by about 1-10mm wide (more preferably about 2-5mm wide) are often used. While thicker or thinner embodiments are occasionally preferred, in general the sheets will preferably be about 1-3mm thick.

In one preferred embodiment the tissue is braided to form a braided implant. The  
20 braided implant may have superior strength when compared with unbraided implants, yet it may also provide sufficient flexibility to allow it to be bunched or folded to fill a disc space. In some preferred embodiments the braided implant is made from multiple (e.g., three or more) strips of flat tissue, while in other embodiments round strands are used. The braided implants may be braided to form extraordinarily strong, yet flexible, structures, such as when  
25 many thin/fine strands are braided together to form a natural tissue "rope." The rope may then be used as one long, thin section, or it may be folded or coiled to form a more bulky structure. As with the other embodiments described herein, the braided structures may be used in a variety of medical applications, including to augment, repair or replace all or part of an intervertebral disc, or to augment, repair or replace all or part of nearly any other  
30 anatomical structure, including ligaments, tendons, etc.

The natural tissue material may have elastic characteristics, or it may be inelastic. The tissue may also be deformable or non-deformable. For the purposes of this disclosure, elastic is understood to mean that the material returns to its original shape, or nearly so, when stretched or compressed under implant conditions. The elastic nature of the tissue provides the opportunity to better match the elastic modulus of surrounding host tissue, for example, thereby allowing the disc nucleus or disc replacement to flex more freely, to better contour to surrounding host tissues, and to reduce the potential for implant subsidence into the endplates. For purposes of this disclosure, deformable is understood to mean that the material may decrease slightly in dimension in response to surrounding forces once implanted into the defect site, such that it accommodates the site of injury's absent or deformed tissue within the body to enhance or restore function. Preferably, the natural tissue implants described herein are made of soft, flexible tissue, although hard, inflexible tissue may be used in some alternative embodiments.

The biological tissue may have smooth surfaces to reduce the potential for wear. Moreover, if wear particles are generated from the tissue material, the body can degrade and metabolize those particles better than it could degrade and metabolize synthetic materials.

It is to be appreciated that the use of natural, biological tissue provides the potential for "scarring" and allows host tissues to grow into the tissue, thus reducing the likelihood of expulsion of the implant as has been reported with synthetic jacket designs. In some embodiments the natural biologic material may be sutured before or after being positioned into place.

The tissue may comprise natural, biological tissue, or it may comprise a matrix derived from biological tissue. The biological tissue can be either degradable or non-degradable in nature. The tissue may be used to encapsulate an elastomeric or hydrogel nucleus or intervertebral disc replacement device, or it may be used as a nucleus or intervertebral disc replacement device itself, without an additional central core.

As to the inventive methods, the natural tissue material may be used to augment, repair, or replace all or part of an intervertebral disc, including a disc nucleus and/or a disc annulus, or it may be used to augment, repair, or replace all or part of some other orthopedic structure such as an anterior cruciate ligament, flexor tendon, rotator cuff, meniscus or other similar tissue within the body that may have elastic or deformable characteristics.

In one aspect, the natural material is to supplement or replace an intervertebral disc nucleus. In this aspect the natural tissue may be provided as a rolled, layered, braided, or folded "plug" of material (or series of such constructs) that are inserted into the disc annulus to supplement or replace the natural disc nuclear material. No synthetic core material is required in this aspect of the invention, but such a core could be incorporated if desired. The natural tissue implant may be used after a complete or partial discectomy with minimal, partial, or complete removal of the original disc nucleus.

In another aspect, the natural material may be used to supplement or replace all, or substantially all, of the original disc – including the disc annulus. Additionally this embodiment may include a retaining mechanism for holding the replacement disc in place, and could incorporate a synthetic core material if desired.

In a further aspect, the natural biological tissue may be used to encapsulate an elastomeric or hydrogel core to provide a nucleus replacement device. Hydrogel nucleus devices may require some form of encapsulation around the central hydrogel material to constrain them and make them compression resistant to loads across the disc. When natural tissue is used to encapsulate an elastomeric or hydrogel core, the advantages noted above are obtained.

In another aspect, the natural material may be used as a patch or plug to close a hole in the disc annulus, or otherwise to repair a disc nucleus or annulus. This and other aspects are described more fully in this and related applications, with reference to the drawings provided therein.

It is to be appreciated that the natural tissue implants of the present invention may be used in their hydrated form, or they may be fully or partially dehydrated prior to implantation. Dehydrated implant may be smaller than hydrated implants, and thus are preferred for some applications since they can be implanted through a smaller incision. In one embodiment a natural tissue implant is implanted into a disc space in a dehydrated condition, and is rehydrated to form a substantially larger body. In some such embodiments the rehydrated tissue increases in size enough to increase the disc height, and preferably enough to distract the vertebrae.

In some embodiments the natural tissue implants are used in combination with synthetic materials. In that regard, hydrogels may be used as indicated above, or other

polymers, elastomers, plastics, fabrics, beads, fibers, etc., may additionally or alternatively be used. Examples of synthetic materials that may be used to form components of natural tissue implants include polyvinyl alcohol, polyacrylamide-polyacrylic acid, polyurethane, silicone, silicone polyurethane, polyethylene, propylene, polyester, polyterephthalate, polyaryletherketone, etc.

In some embodiments, growth factors such as TGF-Beta, BMP, etc. can be incorporated into the tissues to facilitate disc repair, regeneration or incorporation.

Referring to the Figures, FIG. 1 shows one embodiment of an intervertebral disc nucleus pulposus replacement device. In that Figure the natural tissue implant includes at least one piece of natural tissue 11 positioned between adjacent vertebra 12 and 13 and retained within annulus fibrosis 14. While the Figure illustrates the use of the implant to replace the entire disc nucleus, it is to be appreciated that the implant may be sized to replace or supplement all or only a portion of the actual nucleus pulposus, and to aid in maintaining a predetermined height of the disc space. Further, the illustrated implant has elastic characteristics to absorb forces transmitted through vertebrae 12 and 13. In this embodiment, for example, natural tissue 11 is configured as a roll of one or more sheets of tissue. Other suitable configurations may be utilized, however, as is discussed below.

Referring to FIG. 2, implant 20 in a state prior to implantation may have a greater height "H" and a smaller width "W" than the implanted device shown in FIG. 1 due to the lack of compressive forces on the implant. Further, implant 20 may include one or more securement mechanisms 25, such as sutures, staples and other fasteners as will be discussed below, for helping to maintain the given configuration of the implant. Securement mechanism 25 may extend along all or only a portion of the length of implant 10. Further, securement mechanism 25 may extend through all or only a portion of the thickness of implant 20.

FIG. 3 shows an alternative embodiment of configurations of a natural tissue implant. In the illustrated embodiment, implant 30 comprises a long, thin roll of tissue that can be folded, coiled, etc. to fill a disc nucleus space.

FIGS. 4-10 show a "braided" embodiment of the natural tissue implant of the present invention. In FIGS. 4 and 5, implant 50 comprises long, braided strips 51 of natural tissue. A drawstring 52 is provided to assist in folding the implant into a more compact

configuration after implantation. Drawstring 52 is secured to implant 50 near one end 54, passes through the implant at a multiplicity of sites throughout the length of the implant, and exits the other end 55 of the implant to provide a portion 53 for pulling the drawstring and bunching the implant. Preferably, drawstring 52 passes from one side of the implant to the other each time it passes through the implant. In this manner, the drawstring can be used to “bunch” or “fold” the implant up into a multiplicity of folded portions.

Implant 50 has a first, straightened configuration as shown in FIG. 4. In the illustrated embodiment, implant 50 has a length L that is at least five times its width W. More preferably, length L is at least ten times width W.

FIGS. 5A and 5B show the braided tissue implant of FIG. 4, with a drawstring passing through the implant. Drawstring 52 is secured near one end 54 of the braided tissue implant, and passes through the implant at a multiplicity of sites from the first, secured end, to the second, free end 55. As shown in FIG. 5B, drawstring 52 preferably passes from one side of the braided tissue implant to the other side of the braided tissue implant when it passes through the implant at the multiplicity of sites.

Drawstring 52 has an effective length “E” defined by the length of the drawstring from the point where it first enters the braid near one end, to the point where it last exits the braid near its other end. Drawstring 52 also includes an end portion 53 beyond its effective length, with end portion 53 being used to pull the drawstring and fold the braided implant. It is understood that for a specific length of drawstring, the relative portions of the drawstring that comprise the “effective length” and the “end portion” change as the drawstring is pulled to fold the implant.

To fold the implant, drawstring 52 is pulled while holding free end 55 in a generally fixed location. This causes secured end 54 of the implant to be drawn toward free end 55, thereby bunching or folding the implant. The number of folds depends on the number of sites in the implant through which drawstring 52 passes. For example, if drawstring 52 passes through implant 51 three times, three folds will be formed. The number of folds desired for a particular application varies, with at least one fold being preferred for some embodiments, at least two folds being preferred for other embodiments, and at least three folds being preferred for yet other embodiments.

Moreover, by providing a greater number of folds it may be possible to provide an implant having improved structural properties and/or a preferred volume. Also, by varying the length of the folds from implant to implant, or within a single implant, desired structural properties and/or a desired final configuration may be obtained. For example, wider folds  
5 may be obtained by passing the drawstring through the implant at sites that are farther apart, generally providing a wider, folded implant. Similarly, a curved or arc-shaped implant may be obtained by adjusting the location and size of the folds.

FIG. 6 shows implant 50 after the drawstring has been pulled and the implant has bunched or folded. The length L of the implant is reduced by the bunching/folding, while the  
10 width W is increased. Accordingly, in the illustrated embodiment implant 50 has assumed its second, folded configuration in which length L is less than five times width W. Preferably, in its folded configuration length L is no more than three times width W. The effective length of the drawstring has been correspondingly reduced, since less of the drawstring now lies adjacent to or within the braided tissue.

In some preferred embodiments, regardless of the original length and the original width of the straightened implant, the length-to-width ratio of the implant in its folded  
15 configuration is no more than one-half the length-to-width ratio of the implant in its straightened configuration. Accordingly, if the implant in its straightened configuration has a length-to-width ratio of 10:1, the length-to-width ratio of the folded implant is preferably no more than 5:1, although it is more preferably no more than about 3:1 as indicated above.  
20

FIG. 7 shows a braided implant being implanted into a disc nucleus space 78 defined by disc annulus 77. Implant 71 has a first end 74, a second end 75, and a length L that is at least five times its width W. A drawstring 73 is secured near first end 74, and passes through the implant and exits near second end 75. As previously described, drawstring 72 passes into  
25 and out of implant 71 at a multiplicity of sites throughout the length of the implant. A cannula 76 may be used to assist in inserting the implant through the disc annulus.

FIG. 8 shows the implant of FIG. 7 after more of the implant has been implanted, and after drawstring 72 has been pulled somewhat to begin bunching/folding the implant in the disc nucleus space. FIG. 9 shows the implant after even more of the implant has been  
30 implanted, and after the bunching/folding has proceeded.

FIG. 10 shows the implant of FIG. 7 after the implant has been implanted all of the way into disc nucleus space 75. Drawstring 72 has been pulled sufficiently to bunch or fold the implant completely, so that the width of the implant is now nearly as great as the length. As can be seen from the drawings, an implant having a length-to-width ratio of about 10:1 in its straightened configuration of FIG. 7, has been folded to an implant having a length-to-width ratio of about 2.5:1 in its straightened configuration of FIG. 10.

As shown in FIG. 11, natural tissue implant 110 may comprise a stack of separate sheets 111. Alternatively, as shown in FIG. 12, implant 120 may comprise a strip 121 of tissue that has been folded over to form a multiplicity of layers in the implant. In all cases, stitches or sutures or another securement mechanism connecting one or more of the individual "layers" of material may be used to enhance construct integrity. Further, in all cases the implant may be cut or otherwise fashioned to form an implant having a more natural shape, such as the shape of a natural disc nucleus.

FIGS. 13-15 show another embodiment of a natural tissue implant 130. In FIG. 13, implant 130 comprise a plurality of sub-units 131, 132, 133, and 134, joined together by any appropriate securement means, such as sutures 135 a-c. Most preferably, sub-units 131-134 are relatively small (e.g., 5-9 mm in diameter and 8-20 mm in length) so that they can be inserted through a relatively small aperture in the disc annulus following a discectomy procedure, when stacked as shown in FIG. 13.

Upon implantation in a disc nucleus space, for example, sub-units 131-134 may be folded as shown in FIG. 14 and 15 to form, in a second state, a substantially wider and shorter implant. Such an implant can, for example, better bear and distribute intervertebral forces.

It is to be appreciated that sub-units 131-134 need not be cylindrical, and can be another shape, such as an ovoid shape, where the height of the implant is greater than the width (e.g. 5-8mm width, 8-10 mm height, and 8-20 mm length). This may facilitate creation of a smaller incision in the annulus to allow implantation. Moreover, a lesser or greater number of sub-units may be joined.

FIGS. 16-17 show an embodiment similar to that shown in FIGS. 13-15, but with implant 160 comprising a securement mechanism having sheets or strips of natural tissue

165a-c being attached to all or a portion of the sides of the sub-units 161-164 to act as hinges and facilitate folding.

FIGS. 18-20 show a further embodiment of a disc nucleus replacement device, referred to hereinafter as an "accordion" embodiment. In those Figures, implant 180 comprises a multiplicity of sub-units 181-188, held together by securement means such as sutures 189a-j. As indicated by the Figures, implant 180 may assume a relatively long, narrow configuration in which the sub-units are folded in two columns of four sub-units positioned end-to-end, or it may assume a relatively short, wide configuration in which the sub-units are folded in two columns of four sub-units positioned side-to-side. The long, narrow configuration may be used when the implant is contained in a syringe 190 or other implantation instrument, and the short, wide configuration may be used when the implant has been implanted in a disc space.

It is also to be appreciated that a multiplicity of sub-units may be joined together with a long suture or cable 216, as shown in FIG. 21. Retaining clips 220 and/or 230 may be used as shown in FIGS. 22 and 23.

In another aspect, natural tissue is used as a constraining jacket to encapsulate a synthetic elastomeric or hydrogel core. The natural tissue allows the core material to flex and deform under disc loading conditions, while still providing the necessary structural support.

FIGS. 24 and 25 show one embodiment of the natural tissue of the present invention being used to encapsulate an elastomeric core. Natural tissue 241 constrains elastomeric core 245 to provide a disc nucleus or disc replacement device. Sutures 243 may be used to hold two pieces of natural tissue together to form the implant.

FIG. 26 shows another embodiment of the natural tissue of the present invention being used to encapsulate an elastomeric core. Natural tissue 261 constrains elastomeric core 262 to provide a disc nucleus or disc replacement device. Securement mechanisms such as sutures 263 are used to close the constraining jacket.

The disc nucleus or disc replacement device, with or without an additional core, may be used to replace part or all of a damaged disc nucleus. Further, when stabilized to prevent expulsion from the disc space, the disc replacement device may also replace part or all of the disc annulus. The use of a natural material to form the device provides the advantages identified above.

In another embodiment of the present invention the natural tissue is formed into a sack that is used to hold pieces of tissue. The sack and tissue contained therein may replace a natural disc nucleus.

5 Generally describing the "pouch" or "sack" embodiment, synthetic or natural tissue is fabricated into the shape of an empty pouch. This empty pouch can be compressed down to a diameter small enough to be inserted through a small hole in the annulus of an intervertebral disc. Once inside the disc it can be filled by inserting pieces, strips, or a long strand of natural or synthetic tissue into the pouch. This inflation is done through a small aperture in the pouch. Once filled to capacity the aperture in the pouch may be sutured closed with a  
10 purse string type suture or a pre-inserted suture in a flap over the aperture.

In yet a further embodiment the pouch and contents are manufactured out of material other than natural tissue, such as synthetic polymers (i.e. polyethylene, macron, etc.).

One advantage of the pouch embodiment is that it avoids the insertion of large implants through a large hole in the annulus. Because a large hole is not required to insert the  
15 prosthesis, the implants are less prone to expulsion from the disc. The pouch can also be inserted via a minimally invasive procedure.

Referring to FIGS. 27-30, the pouch embodiment is shown. FIGS. 27-29 show a disc annulus 271 with a natural tissue pouch 272 inserted therein. A loading cannula having an insertion tool 273 may be used to insert pouch 272 into the disc space. To use the loading  
20 cannula, pouch 272 is preferably positioned over the loading cannula so that the cannula extends into the pouch. The insertion tool is used to push the pouch and cannula into position inside a disc annulus. The insertion tool is removed, leaving the cannula in place. After pouch 272 and cannula 274 are in place, the insertion tool 273 of the loading cannula is withdrawn, and pieces or strips of tissue 276 or synthetic material (not shown) are used to fill  
25 pouch 272 by passing them through cannula 274. The cannula is withdrawn part way as the pouch is filled to facilitate loading of the entire pouch. The cannula is withdrawn as the pouch is filled to a desired density. After the pouch has been filled, it is secured at the previously open end by a securement means such as sutures 300.

In yet another embodiment, a natural tissue implant having a hole at one end is used  
30 with a drawstring to form a piece of folded tissue. As shown in FIG. 31, device 310 may comprise a natural tissue implant 311 having a first end 315, a second end 316, and a first

hole 313. Using a drawstring 312 attached to implant 311 near end 315, the implant may be folded by pulling drawstring 312 through hole 313 to form a folded implant as shown in FIG. 32.

5 In another embodiment, implant 310 may be provided with multiple holes 313, and may be used as a ligament replacement by placing fixation screws through the holes.

A further embodiment is shown in FIGS. 33 and 34, with device 330 comprising a natural tissue implant 331 having a multiplicity of holes 333a-b. A drawstring 332 may be passed through holes 333a and 333b to fold the implant. In some embodiments, one end of the implant may be pulled through the to form a knotted implant, as shown in FIG. 34.

10 FIG. 35 shows an embodiment similar to the braided embodiment of FIGS. 6-10, but with the implant comprising a strip or sheet of natural tissue that is not necessarily braided. Drawstring 352 is secured to one end 351b of tissue strip 351, and is used to fold the implant by pulling the free end of the drawstring while holding the free end 351a of implant 351 stable so that the remainder of the implant folds up. If desired, the drawstring may be passed  
15 through a loop 357 at one end of the drawstring to facilitate tying the drawstring in a knot to hold the folded implant in its second, folded configuration.

It is to be appreciated that alternative embodiments similar to the embodiment shown in FIG. 35 are contemplated, with all of those embodiments having the common feature of using a drawstring to bunch or fold a natural tissue implant. Accordingly, in those  
20 embodiments a body comprising natural tissue has a first, straightened configuration that is more narrow, and a second, folded configuration that is wider (when compared to the first, straightened configuration). This facilitates implanting the body through a small incision or hole, and folding the implant to a wider configuration after the body has been implanted.

It is also to be appreciated that the drawstring used to fold the natural tissue implants  
25 of the present invention may be made of natural tissue, or it may be made of another material. For example, synthetic materials may be used to form the drawstring. Such synthetic materials may be resorbable, or they may be non-resorbable. Alternatively, the drawstring may be made of wire or some other non-resorbable material. Moreover, multiple drawstrings may be used in a single device in some embodiments.

30 Reference will now be made to a specific example of one aspect of the present invention. It is to be understood that the example is provided to more completely describe

one preferred embodiment, and that no limitation to the scope of the invention is intended thereby.

#### EXAMPLE

5 To test one aspect of the inventive device, a disc nucleus replacement device made of a roll of natural tissue was implanted in a sheep. A photo of the natural tissue device being implanted in the animal is shown in FIG. 36. A histological section showing the implant after six months in the animal is shown in FIG. 37. The disc nucleus replacement device functioned well for many months. The device remained in position within the nucleus space without expulsion, and maintained proper disc distraction and annulus tension without  
10 subsidence into the endplates. No evidence of wear particles, and no cellular inflammation were observed.

## CLAIMS

What is claimed is:

- 5           1.       A device for augmenting, repairing or replacing an intervertebral disc, or any portion thereof, said device comprising at least one piece of natural tissue sized and configured for insertion within an intervertebral disc.
2.       The device of claim 1 wherein the natural tissue comprises a biological tissue or a matrix derived from a biological tissue.
- 10          3.       The device of claim 1 wherein the natural tissue comprises pericardium tissue.
4.       The device of claim 1 wherein the natural tissue comprises small intestine submucosa.
5.       The device of claim 1 wherein the configuration comprises a plurality of layers of the natural tissue.
- 15          6.       The device of claim 5 wherein the plurality of layers have a form selected from the group consisting of a roll, a plurality of stacked sheets, and a folded-over single sheet.
7.       The device of claim 5 wherein the device further comprises a securement mechanism for affixing together at least a portion of at least two of the plurality of layers.
- 20          8.       The device of claim 7 wherein the securement mechanism comprises a mechanism selected from the group consisting of a suture, a staple, etc.
9.       The device of claim 1 wherein the natural tissue comprises a plurality of sub-units.
10.       The device of claim 9, and further comprising at least one securement mechanism for movably interconnecting the plurality of sub-units.
- 25          11.       The device of claim 10 wherein the securement mechanism comprises a mechanism selected from the group consisting of a suture, a staple, a sheet, a strip.
12.       The device of claim 1 wherein said device comprises braided natural tissue.
13.       A device for augmenting, repairing or replacing an intervertebral disc, or any portion thereof, said device comprising a plurality of sub-units of a natural tissue having a
- 30

configuration sized for positioning within an intertebral disc; and at least one securement mechanism for interconnecting the plurality of sub-units.

14. The device of claim 13 wherein said sub-units each comprise a roll or plug of natural tissue.

5 15. The device of claim 13 wherein said sub-units comprise braided natural tissue.

16. A method of augmenting, repairing or replacing all or part of an intervertebral disc, said method comprising implanting in the intervertebral disc an intervertebral disc device comprising at least one piece of natural tissue sized and configured for insertion within an intervertebral disc.

10 17. The method of claim 16 wherein said natural tissue comprises a biological tissue or a matrix derived from a biological tissue.

18. The method of claim 16 wherein said natural tissue comprises pericardium tissue.

15 19. The method of claim 16 wherein said natural tissue comprises small intestine submucosa.

20. The method of claim 16 wherein said intervertebral disc device comprises a plurality of layers of the natural tissue.

20 21. The method of claim 20 wherein the plurality of layers has a form selected from the group consisting of a roll, a plurality of stacked sheets, and a folded-over single sheet.

22. The method of claim 16 wherein said intervertebral disc device further comprises a securement mechanism for affixing together at least a portion of at least two of the plurality of layers.

25 23. The method of claim 22 wherein the securement mechanism comprises a mechanism selected from the group consisting of a suture, a staple, etc.

24. The method of claim 16 wherein said intervertebral disc device comprises a plurality of sub-units.

25 25. The method of claim 24, and further comprising at least one securement mechanism for movably interconnecting the plurality of sub-units.

30 26. The method of claim 25 wherein the securement mechanism comprises a mechanism selected from the group consisting of a suture, a staple, a sheet, a strip.

27. The method of claim 16 wherein said intervertebral disc device comprises braided natural tissue.

28. The method of claim 27 wherein said intervertebral disc device comprises a plurality of sub-units of a natural tissue having a configuration sized for positioning within an intervertebral disc; and at least one securement mechanism for interconnecting the plurality of sub-units.

29. The method of claim 16 wherein said intervertebral disc device comprises a series of relatively narrow plug segments that may be folded together to provide a relatively wider plug of tissue.

30. The method of claim 17 wherein said series of relatively narrow plug segments are held together by a retaining clip when folded together to form a relatively wider plug of tissue.

31. A structure for augmenting, repairing or replacing an anatomical structure, said structure comprising braided natural tissue.

32. The structure of claim 31 wherein the braided natural tissue comprises pericardium tissue.

33. The structure of claim 31 wherein the braided natural tissue comprises small intestine submucosa.

34. The structure of claim 31 wherein the braided natural tissue comprises at least three strands of braided tissue.

35. The structure of claim 31, wherein the braided natural tissue additionally comprises means for attaching the structure to bone.

36. A device for augmenting, repairing or replacing an anatomical structure, said device comprising a length of braided natural tissue, wherein said length of braided natural tissue has a first, straightened configuration and a second, folded configuration, wherein said first, straightened configuration presents a first cross-sectional size and said second, folded configuration presents a second cross-sectional size, wherein said first cross-sectional size is smaller than said second cross-sectional size.

37. The device of claim 36 wherein said device additionally comprises a drawstring for folding said length of braided natural tissue to its second, folded configuration.

38. The device of claim 36 wherein said drawstring is attached to said length of braided natural material in a manner to facilitate folding the device to a second, folded configuration having at least two folds.

5 39. A device for augmenting, repairing or replacing an anatomical structure, said device comprising a length of natural tissue, wherein said length of natural tissue has a first, straightened configuration and a second, folded configuration, wherein said first, straightened configuration presents a first cross-sectional size and said second, folded configuration presents a second cross-sectional size, wherein said first cross-sectional size is smaller than said second cross-sectional size.

10 40. The device of claim 39 wherein said device additionally comprises a drawstring for folding said length of natural tissue to its second, folded configuration.

41. The device of claim 40 wherein said drawstring is attached to said length of natural material in a manner to facilitate folding the device to a second, folded configuration having at least two folds.

15 42. A device for augmenting, repairing or replacing an intervertebral disc nucleus, said device comprising: (a) a braided natural tissue implant having a first end and a second end; and (b) a drawstring secured near the first end of said braided tissue implant and passing through said implant at a multiplicity of sites from the first end to the second end;

20 wherein said implant defines a first, straightened configuration in which the implant has a length-to-width ratio of at least 5:1 when said drawstring has an effective length approximately equal to the length of the straightened natural tissue, and

wherein said implant defines a second, folded configuration in which the implant has a length-to-width ratio of less than 5:1 when said drawstring has an effective length less than the length of the straightened natural tissue,

25 43. The device of claim 42 wherein the natural tissue comprises braided pericardium tissue.

44. The device of claim 42 wherein the natural tissue comprises braided small intestine submucosa.

30 45. The device of claim 42 wherein said drawstring passes through the braided implant at a multiplicity of sites throughout the length of the implant, with said multiplicity being at least three sites.

46. The device of claim 45 wherein said drawstring passes through at least five sites.

47. The device of claim 46 wherein said drawstring passes through at least ten sites.

5 48. A method of augmenting, repairing or replacing an intervertebral disc nucleus, said method comprising:

(a) providing a braided natural tissue implant having a first end, a second end, and a drawstring, wherein said drawstring is secured near the first end of said braided tissue implant and passes through the implant at a multiplicity of sites from the first end to the second end, and wherein said implant defines a first, straightened configuration in which the implant has a length-to-width ratio of at least 5:1 when said drawstring has an effective length approximately equal to the length of the straightened natural tissue;

(b) implanting said straightened implant into an intervertebral disc space; and

10 (c) causing said braided tissue implant to assume a second, folded configuration in which the implant has a length-to-width ratio of less than 5:1, said causing being accomplished by reducing the effective length of said drawstring.

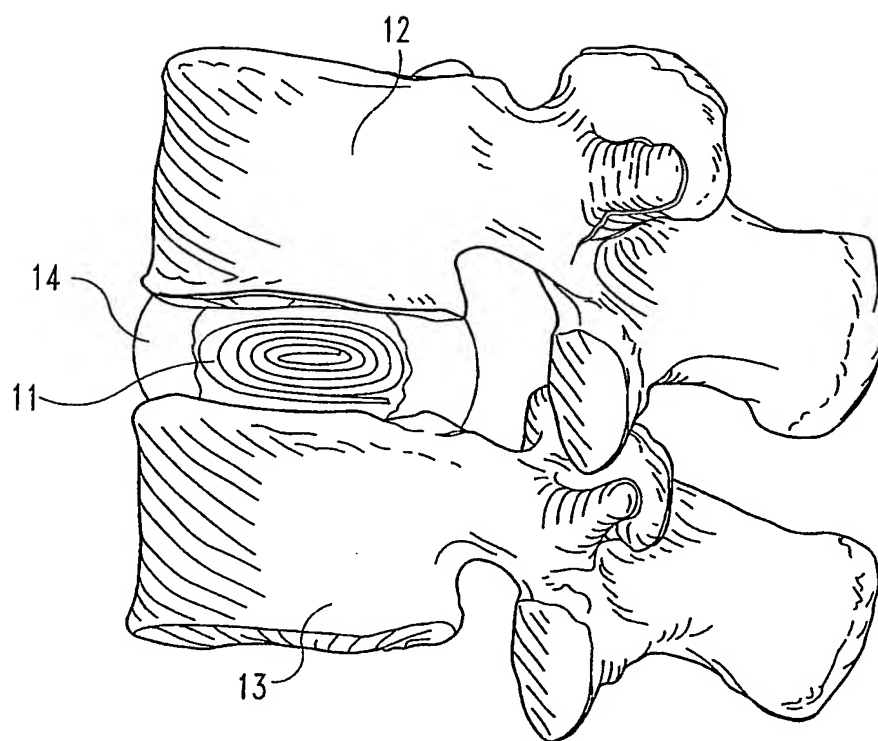
**Fig. 1**

Fig. 2

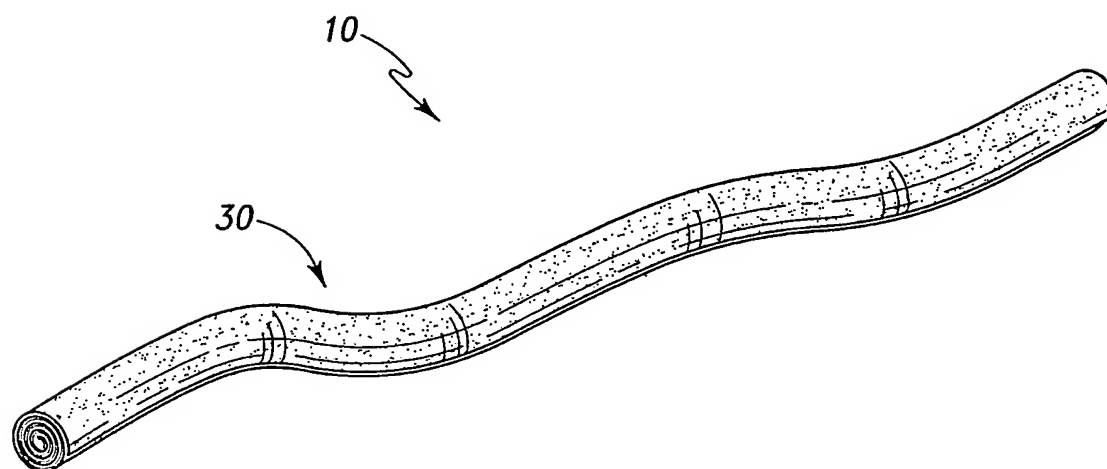
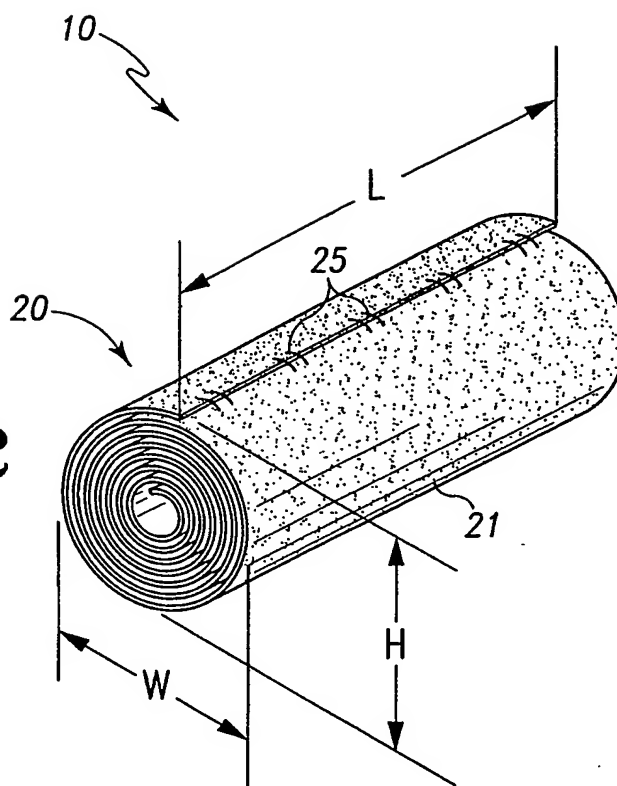


Fig. 3

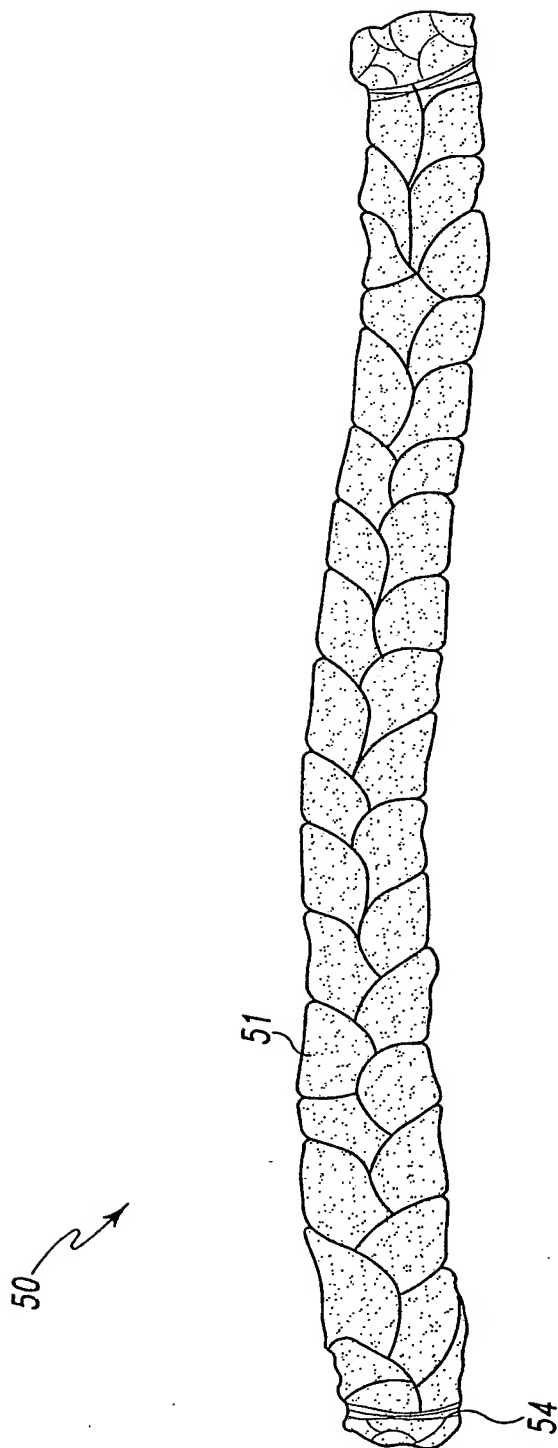


Fig. 4

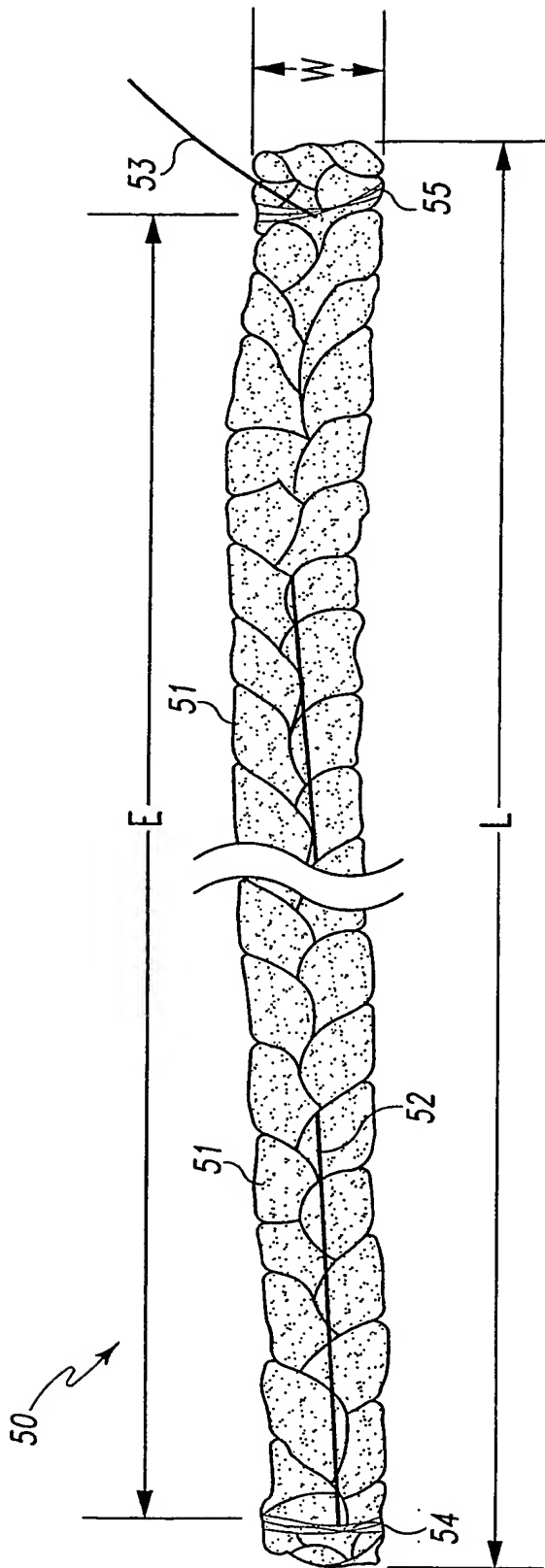


Fig. 5A

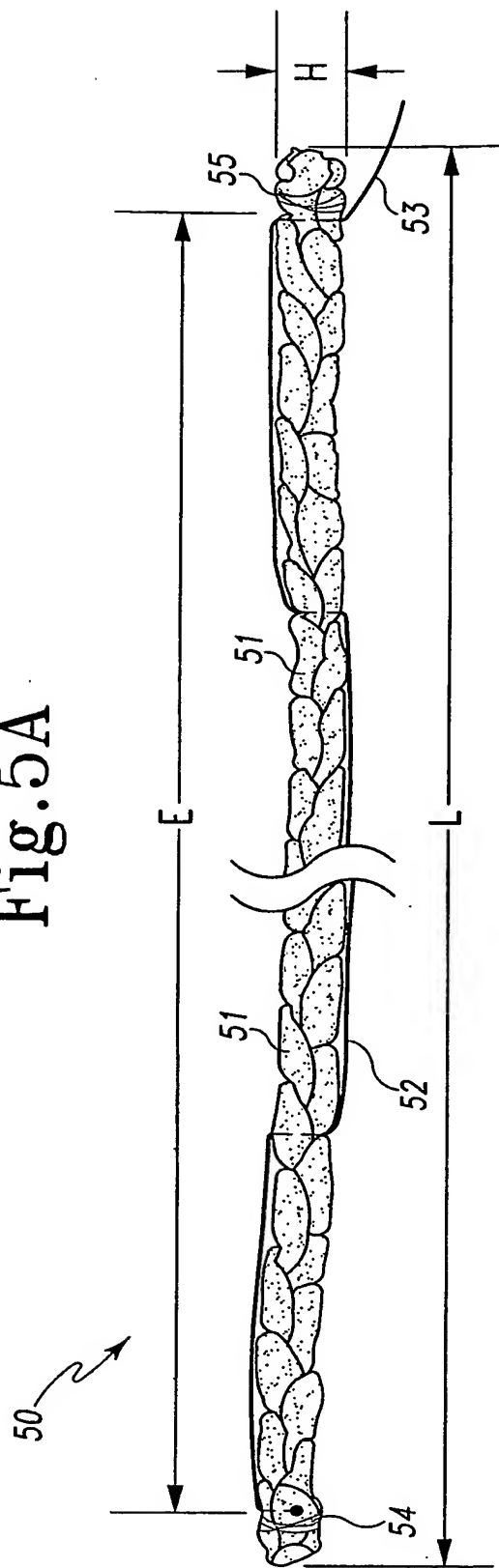


Fig. 5B

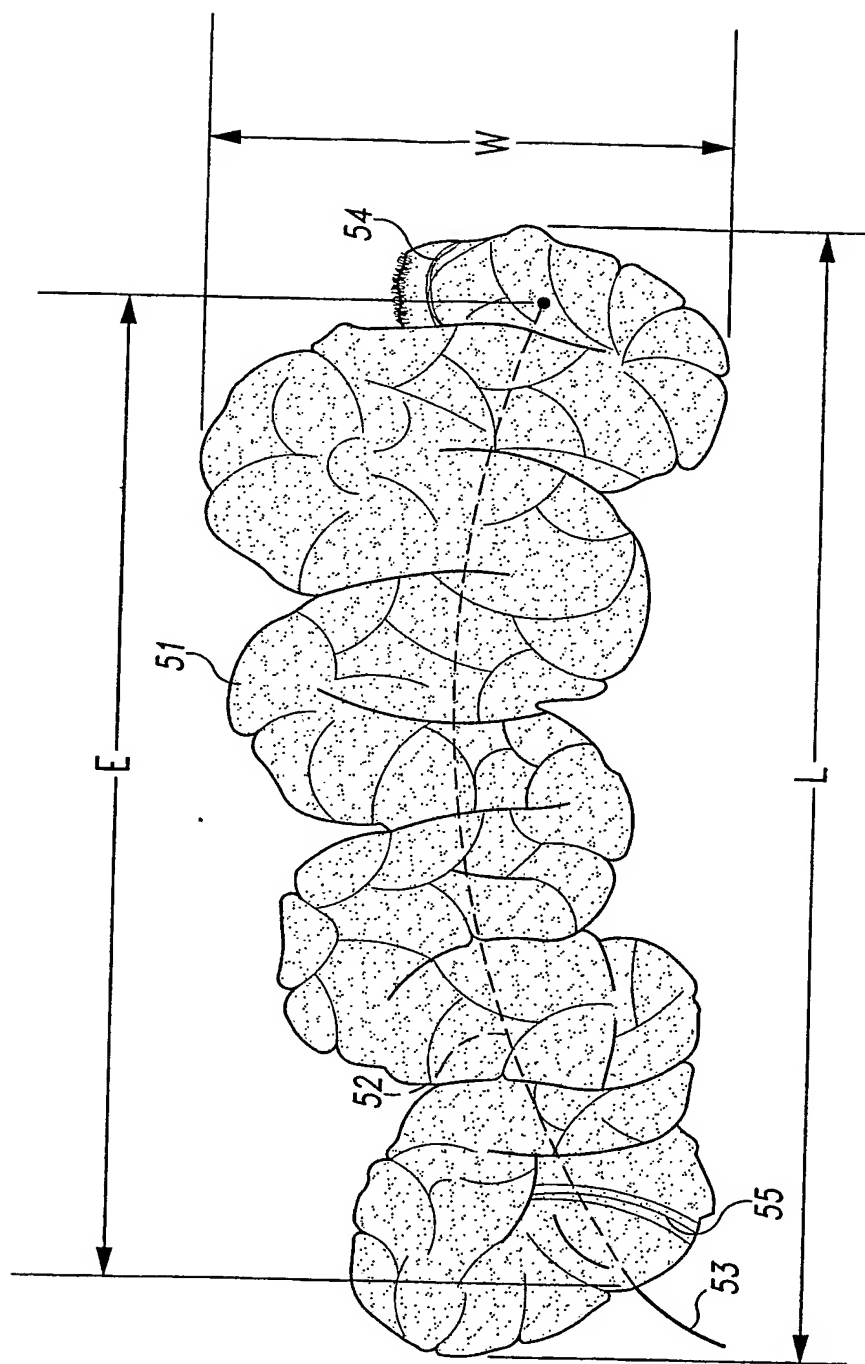


Fig.6

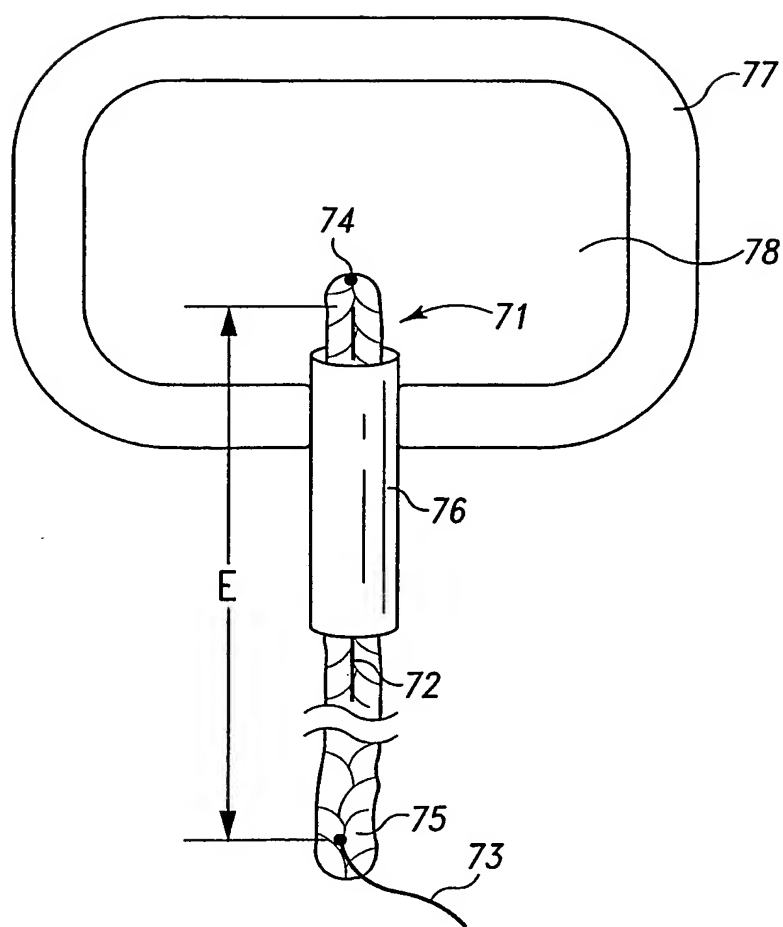
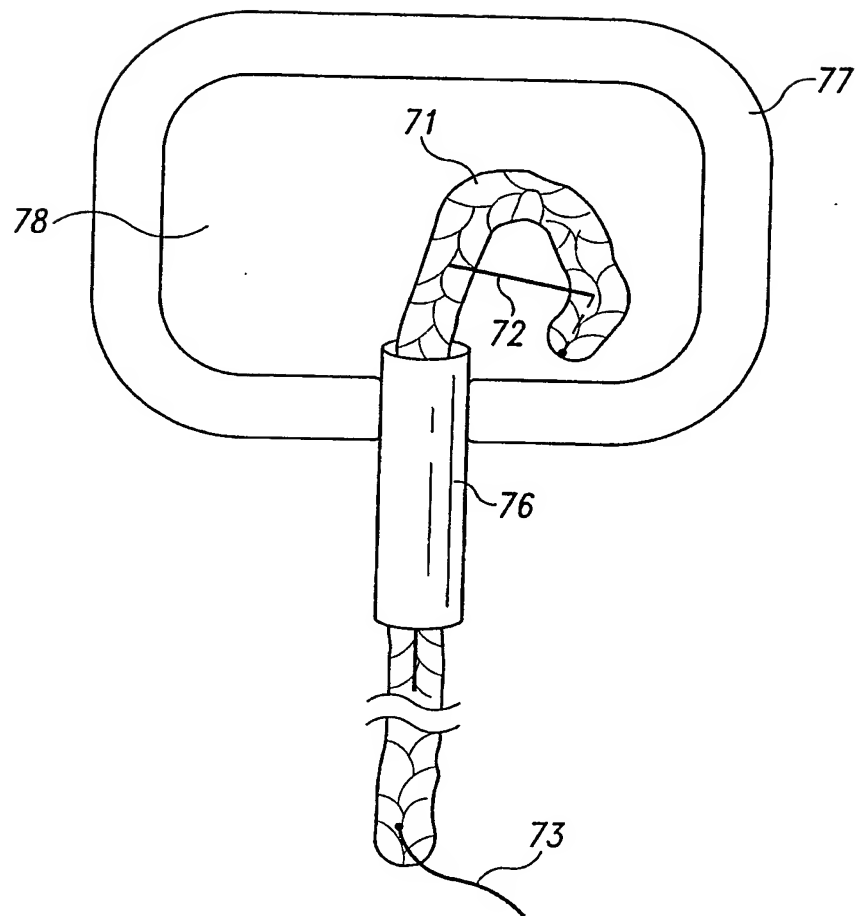


Fig. 7

**Fig. 8**

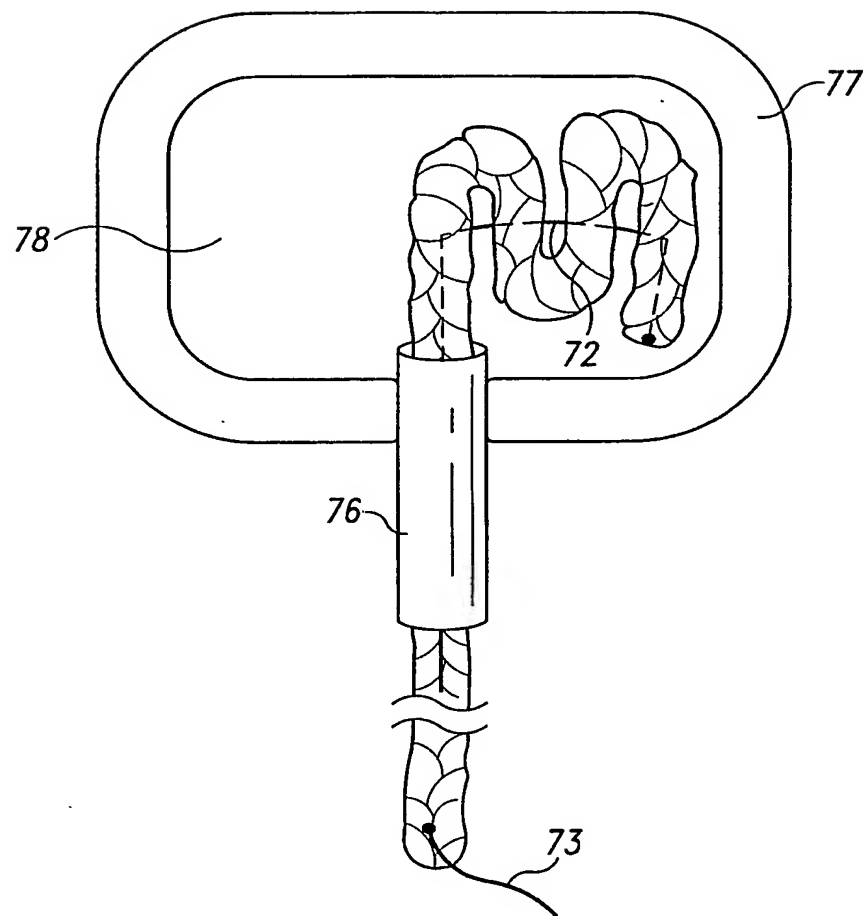


Fig. 9

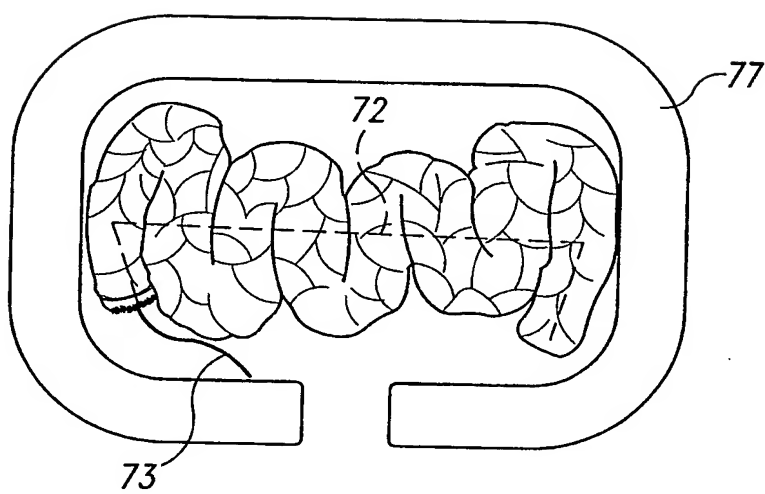


Fig. 10

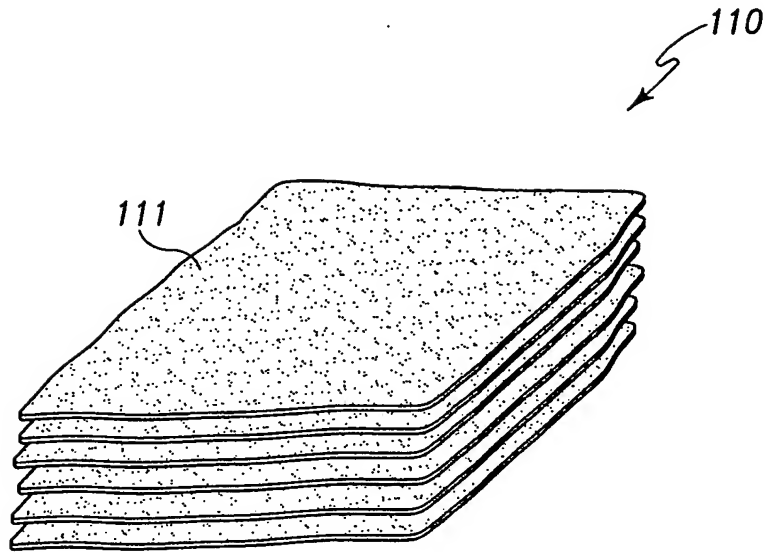


Fig. 11

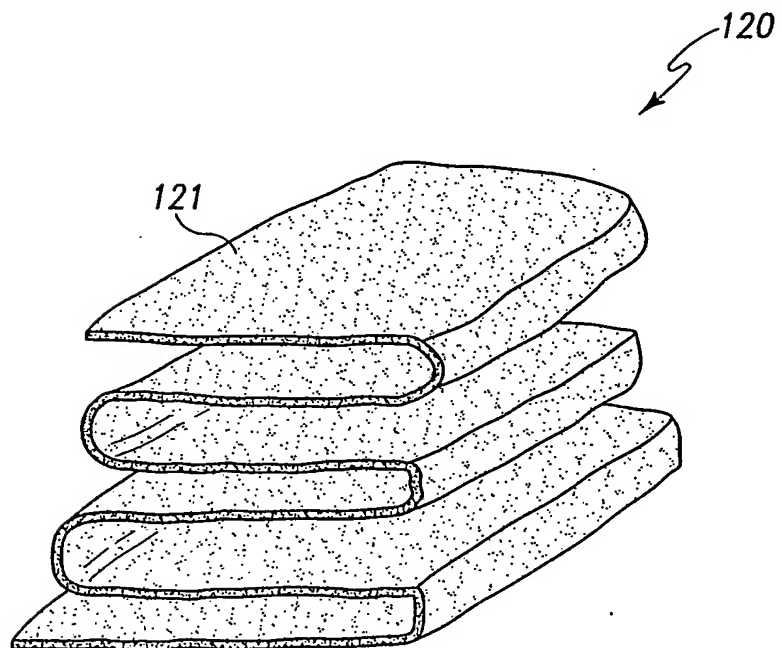


Fig. 12

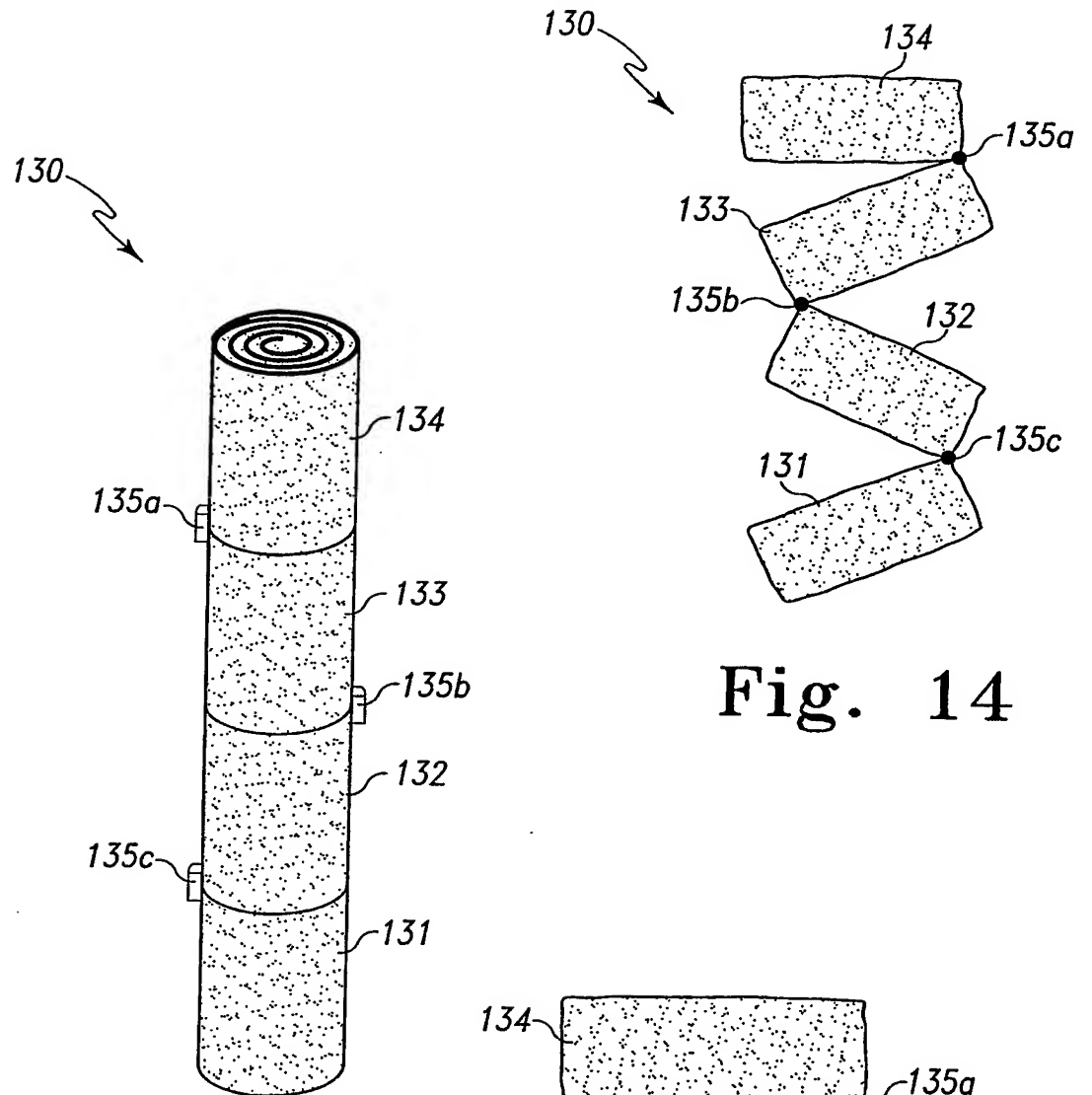


Fig. 14

Fig. 13

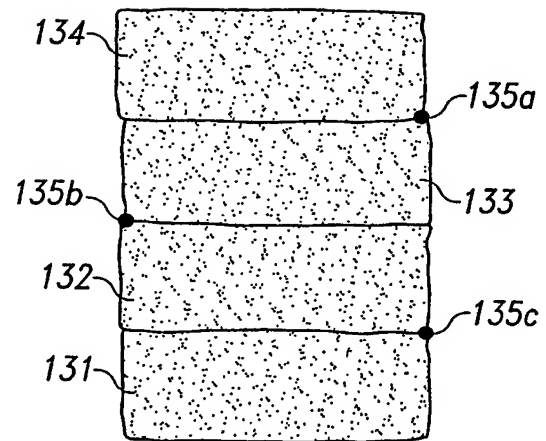


Fig. 15

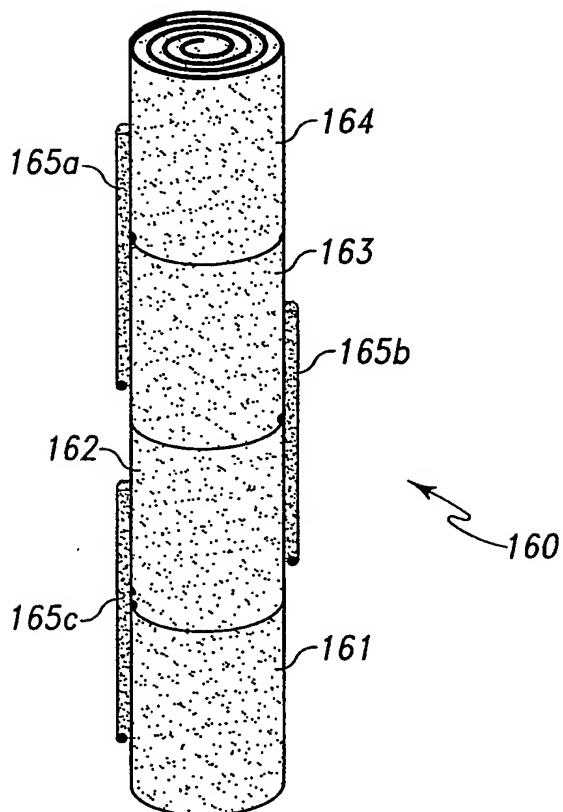


Fig. 16

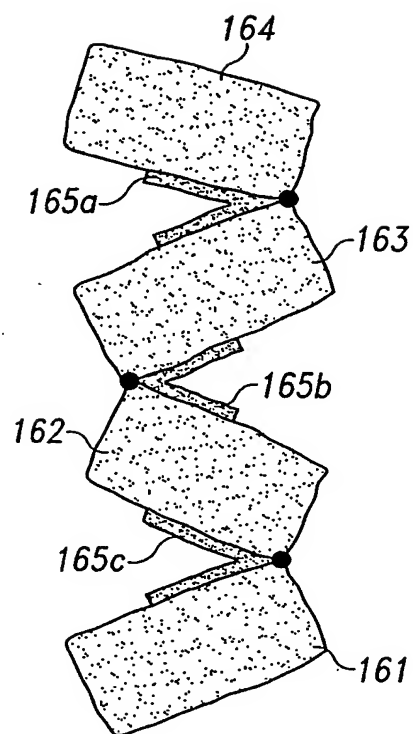


Fig. 17

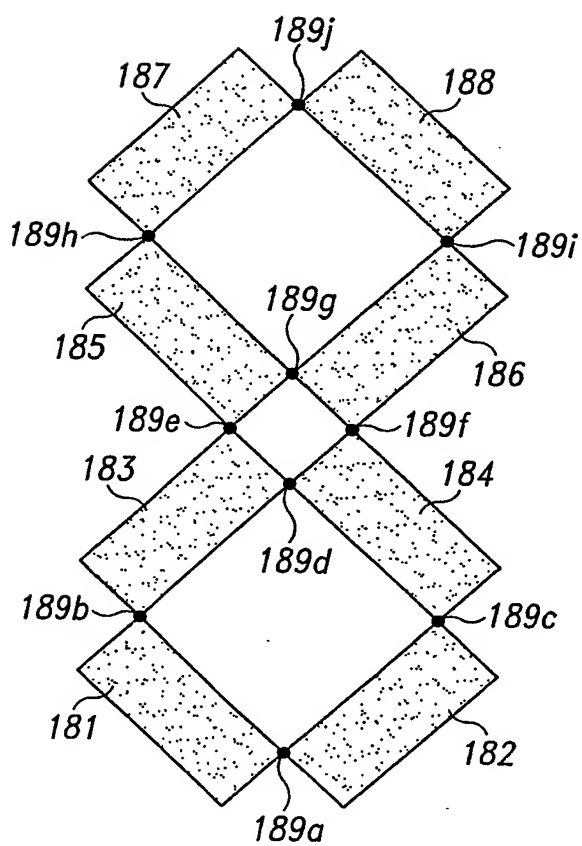


Fig. 18

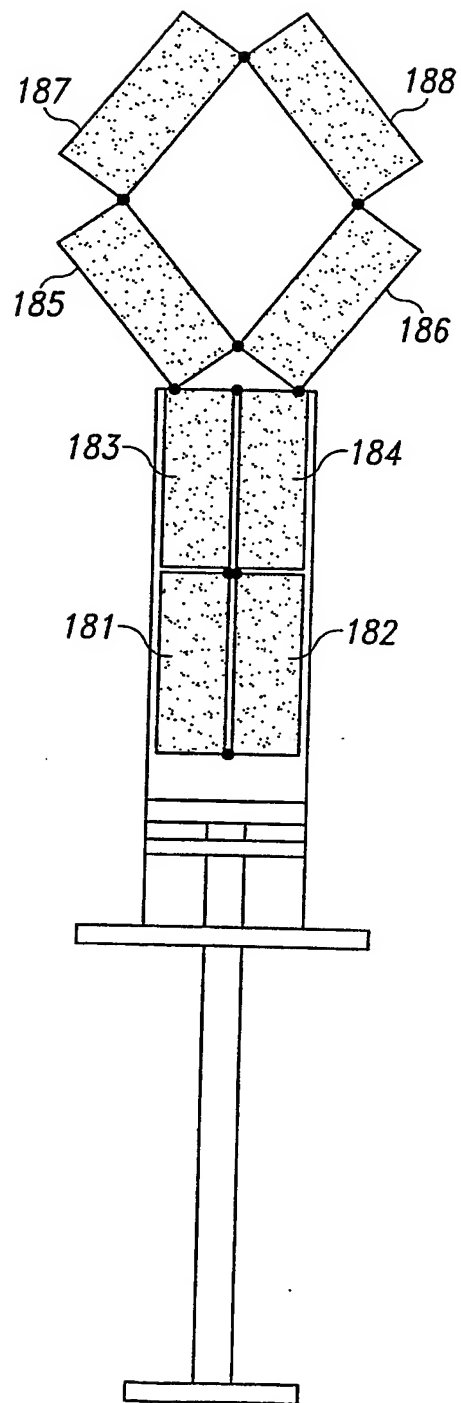


Fig. 19

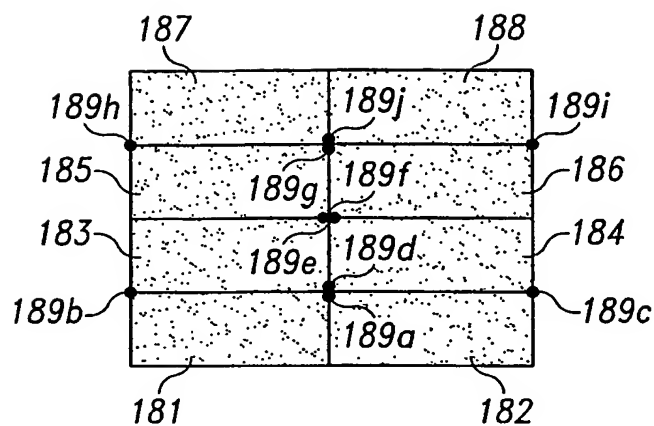


Fig. 20

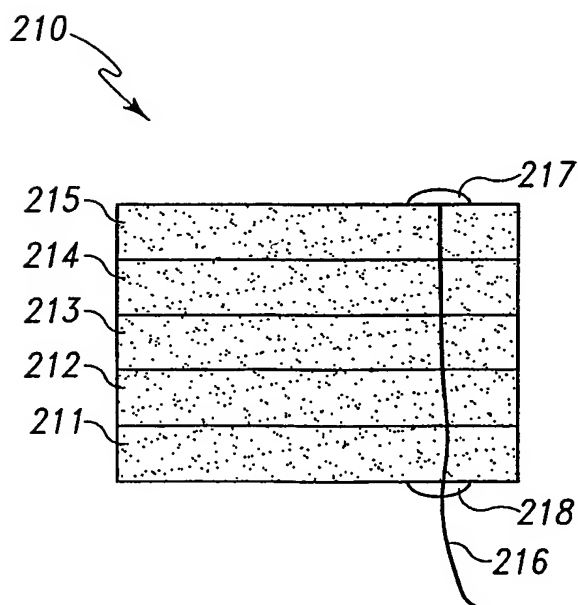


Fig. 21

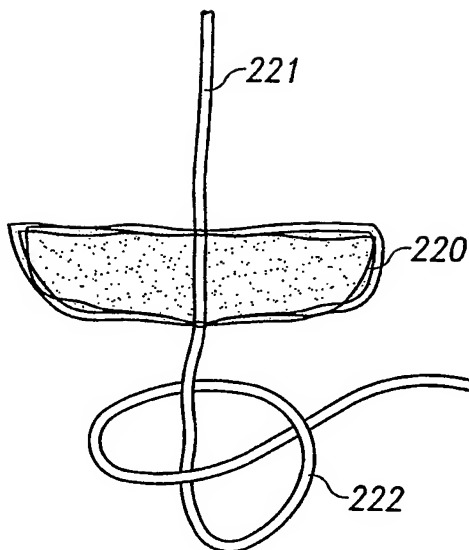


Fig. 22

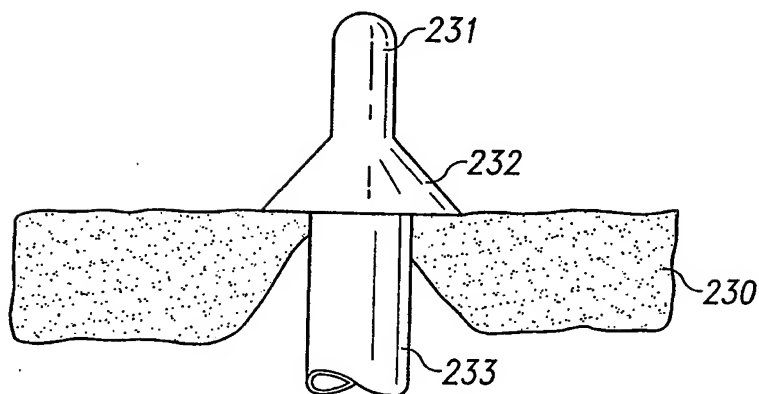


Fig. 23

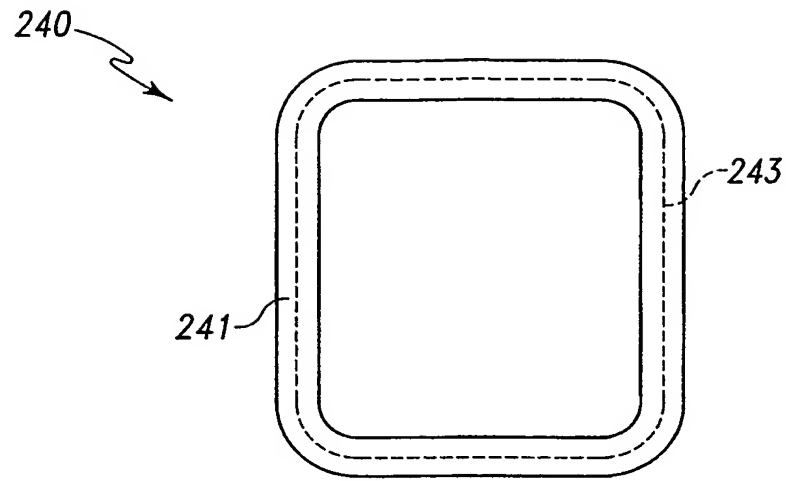


Fig. 24

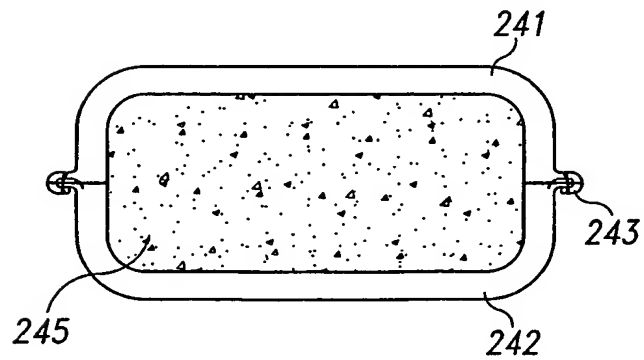


Fig. 25

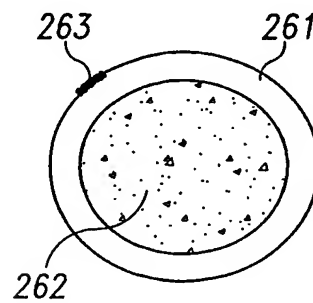


Fig. 26

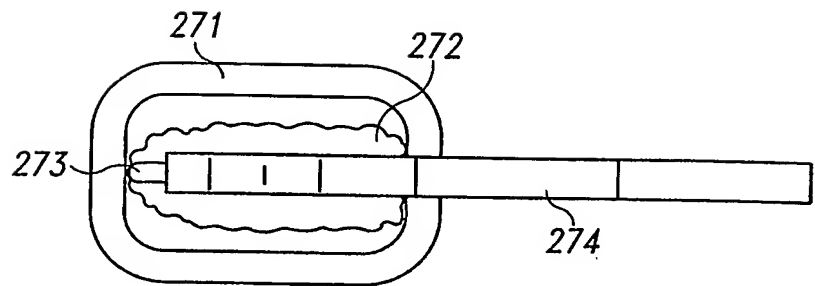


Fig. 27

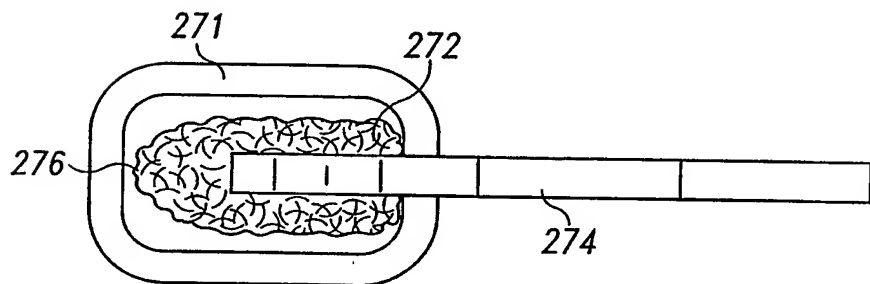


Fig. 28

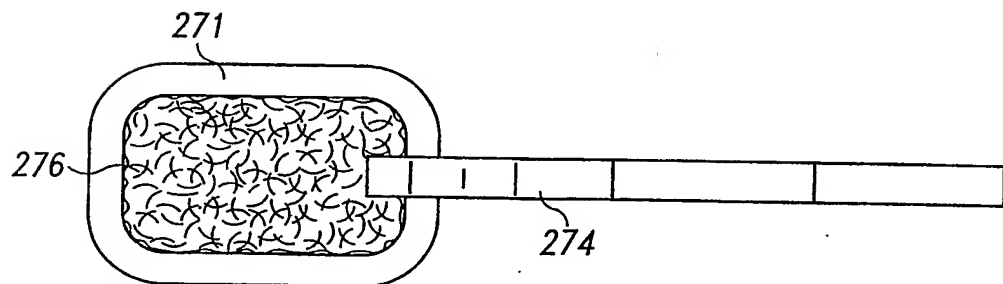


Fig. 29

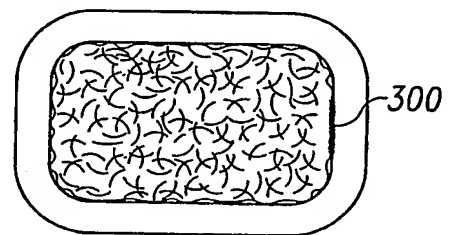


Fig. 30

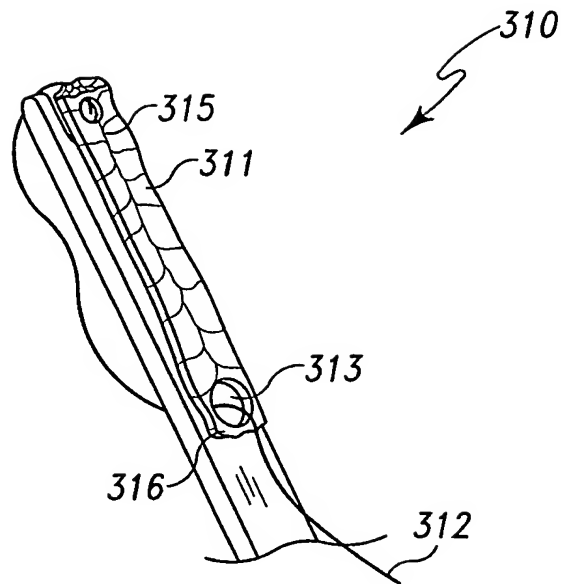


Fig. 31

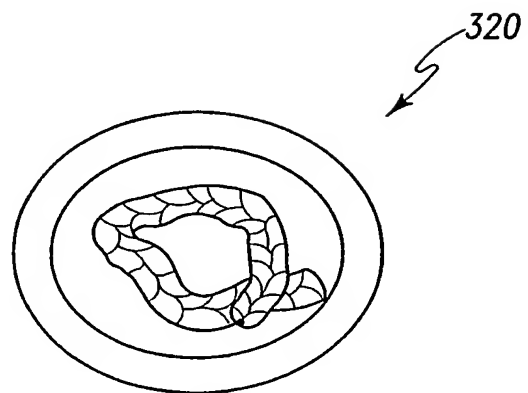


Fig. 32

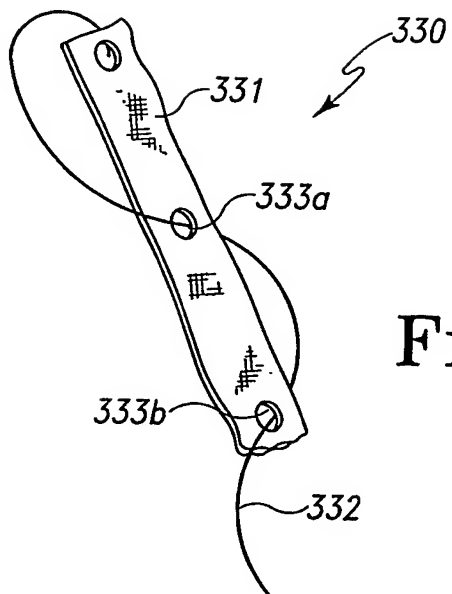


Fig. 33

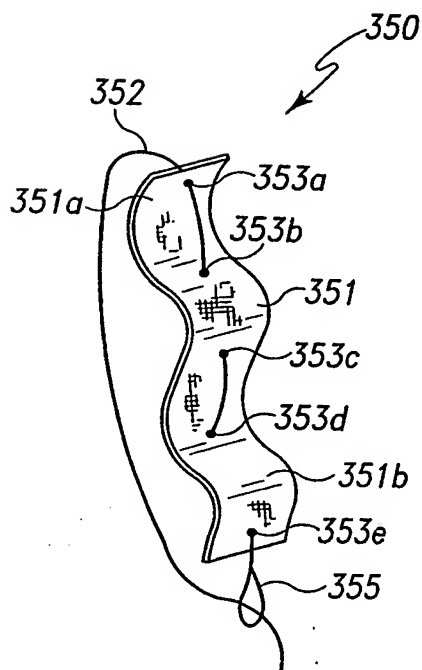


Fig. 35

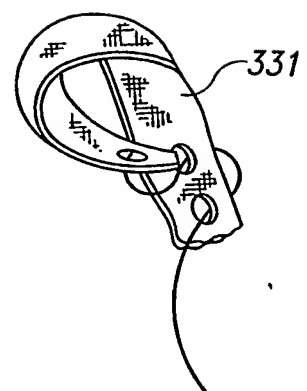


Fig. 34



Fig. 36

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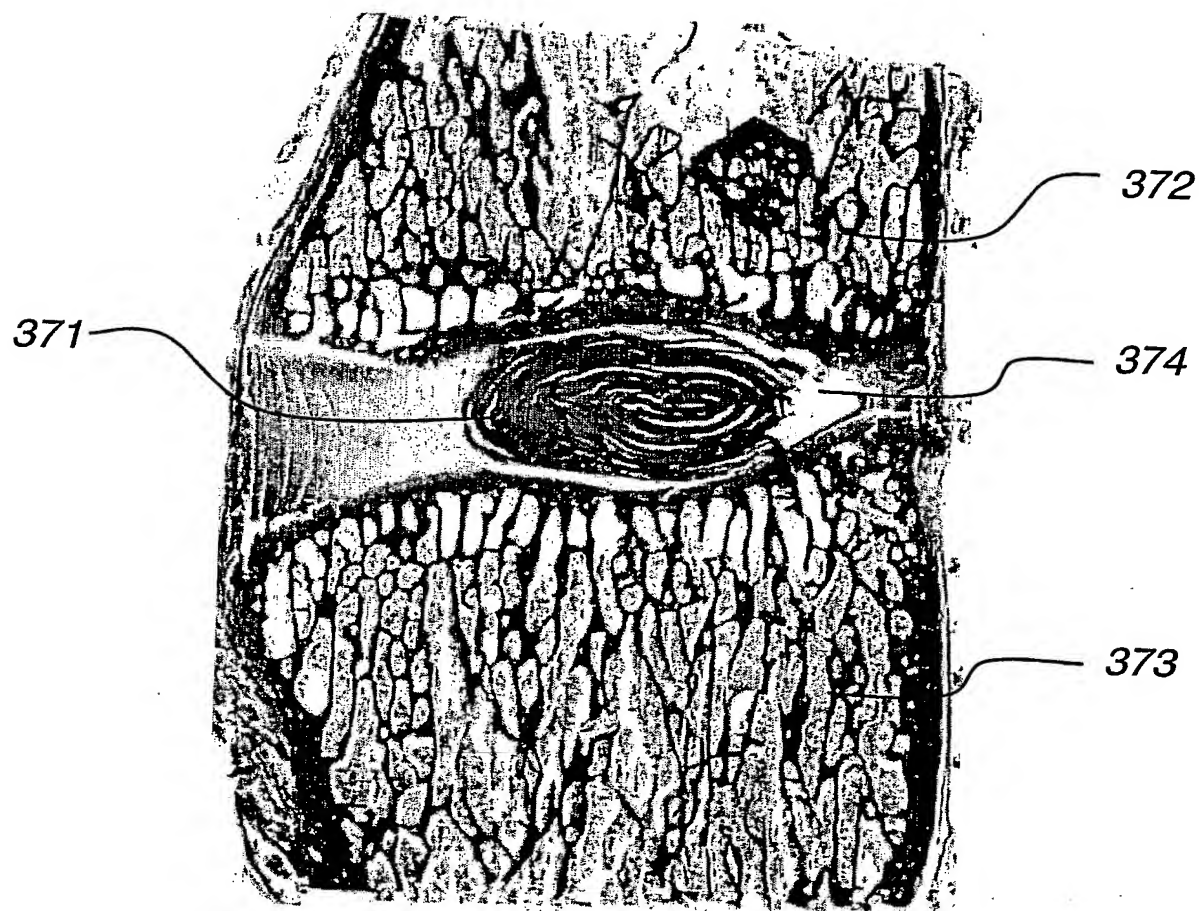


Fig. 37

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(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
1 April 2004 (01.04.2004)

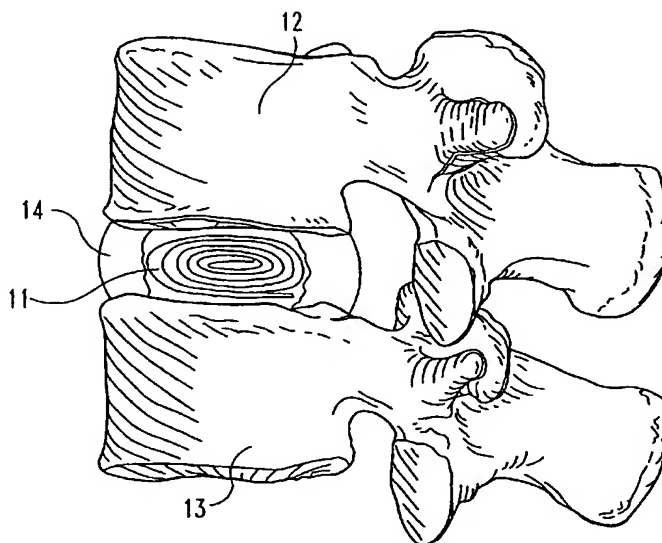
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A61L 27/24
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- (71) Applicant (*for all designated States except US*): **SDGI HOLDINGS, INC.** [US/US]; 300 Delaware Avenue, Suite 508, Wilmington, DE 19801 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): **MCKAY, William, F.** [US/US]; 3870 McElrie Cove, Memphis, TN 38133 (US). Published: — *with international search report*

[Continued on next page]

(54) Title: NATURAL TISSUE DEVICES AND METHODS OF IMPLANTATION



(57) Abstract: Materials and methods for repairing or replacing an intervertebral disc or disc nucleus using natural biological tissue (11). The tissue may be used alone without an additional core material, or it may be used to encapsulate an elastomeric or hydrogel core. When used alone the tissue may be rolled, folded, braided, or layered to provide a solid plug of natural biological material. When used to encapsulate an elastomeric or hydrogel core the tissue acts as a constraining jacket to support the core. The natural tissue implants (10) may further include a drawstring to assist in folding the implant when the device is implanted in an intervertebral disc space. Multiple units of the natural tissue implants may be used together.

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— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(88) Date of publication of the international search report:**  
12 August 2004

# INTERNATIONAL SEARCH REPORT

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. /US 03/30136

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 A61F2/44 A61L27/24

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 733 337 A (TERMIN PAUL L ET AL) 31 March 1998 (1998-03-31) column 2, line 21 - line 25 column 2, line 63 - line 67 column 3, line 38 - line 41 column 4, line 48 - line 54 column 5, line 65 claims 10,11	1-9, 12
X	WO 02/39889 A (GABBAY S) 23 May 2002 (2002-05-23) claims 2,3 figures 1-8	1-3, 5-9
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- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

12 February 2004

Date of mailing of the international search report

12 Feb 2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Josten, S

# INTERNATIONAL SEARCH REPORT

national Application No  
PCT/US 03/30136

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2002/120270 A1 (SERBOUSEK JON ET AL) 29 August 2002 (2002-08-29) paragraph '0035! paragraph '0044! paragraph '0048! claim 13 figures 5,7	1,2,9-12
X	WO 02/34169 A (SDGI HOLDINGS INC ;TRIEU HAI H (US)) 2 May 2002 (2002-05-02) page 25, line 7 - line 21 figure 11	1,2,5,6
X	WO 02/17824 A (SDGI HOLDINGS INC ;TRIEU HAI H (US)) 7 March 2002 (2002-03-07) page 16, line 19 - line 31 page 18, line 2; claims 1,3 figure 1	1,2,5,6

Form PCT/ISA/210 (continuation of second sheet) (January 2004)

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 03/30136

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 16-30, 48  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

see annex

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Claims Nos.: 16-30,48

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-12

A device comprising at least one piece of natural tissue.

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2. claims: 13-15

A device comprising a plurality of sub-units of natural tissue and at least one securement mechanism for interconnecting the plurality of sub-units.

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3. claims: 31-35

A structure comprising braided natural tissue.

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4. claims: 36-38

A device comprising a length of braided natural tissue, said length having a first, staightened configuration and a second, folded configuration, wherein the cross-sectional size of the first configuration is smaller than the cross-sectional size of the second configuration.

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5. claims: 39-41

A device comprising a length of natural tissue, said length having a first, staightened configuration and a second, folded configuration, wherein the cross-sectional size of the first configuration is smaller than the cross-sectional size of the second configuration.

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6. claims: 42-47

A device comprising a braided natural tissue implant and a drawstring.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

national Application No

PCT/US 03/30136

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